

PRE-QUALIFICATION DOCUMENT

For

AZIZ CHOWK INTERCHANGE (N-5) AT GUJRANWALA

Issued to: _____

Issued on: _____



NATIONAL HIGHWAY AUTHORITY

(Procurement & Contract Administration Section)
28-Mauve Area, Sector G-9/I, Islamabad (Pakistan)
Phone # 92-51-9032727, Fax # 92-51-9260419

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PART - 1

Pre-qualification Procedures

Section I. Instructions to Applicants

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Section I. Instructions to Applicants

A. General

- 1. Scope of Application** 1.1 In connection with the Invitation for Pre-qualification indicated in Section II, Pre-qualification Data Sheet (PDS), the Employer, as defined in the **PDS**, issues this Pre-qualification Document (PQD) to applicants interested in bidding for the works described in Section V, Scope of Works. The name of Project, number of contracts and the name and identification of each contract, corresponding to this pre-qualification, are provided in the **PDS**.
- 2. Source of Funds** 2.1 The source of funds shall be Government of Pakistan (GOP).
- 3. Fraud and Corruption** 3.1 The Employer requires that Applicants, Bidders, Suppliers, Contractors, and Consultants under these contracts, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of this policy, the Employer:
- (a) Defines, for the purposes of this provision, the terms set forth below as follows:
 - (i) “corrupt practice” means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the procurement process or in contract execution;
 - (ii) “fraudulent practice” means a misrepresentation or omission of facts in order to influence a procurement process or the execution of a contract;
 - (iii) “collusive practice” means a scheme or arrangement between two or more bidders, with or without the knowledge of the Employer, designed to establish bid prices at artificial, noncompetitive levels; and
 - (iv) “coercive practice” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the procurement process or affect the execution of a contract;
 - (b) will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the Contract in question;
 - (c) will sanction a firm or individual, including declaring them ineligible, either indefinitely or for a stated period of time, to be awarded a contract if it at any time determines that they

have, directly or through an agent, engaged, in corrupt, fraudulent, collusive or coercive practices in competing for, or in executing, a contract; and

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- (d) will have the right to require that a provision be included in Bidding Documents and requiring bidders, suppliers, contractors and consultants to permit the Employer to inspect their accounts and records and other documents relating to the bid submission and contract performance and to have them audited by auditors appointed by the Employer.

4. Eligible Applicants

- 4.1 An Applicant shall be a private, public or government owned legal entity, subject to ITA 4.6, or any combination of them in the form of association(s) including Joint Venture (JV) with the formal intent, as evidenced by a letter of intent, to enter into an agreement or under an existing agreement. In the case of a JV, unless otherwise specified in the **PDS**, (i) all parties shall be jointly and severally liable, and (ii) there shall be no limit on the number of partners.
- 4.2 The eligibility criteria listed in this Clause 4 shall apply to the Applicant, including the parties constituting the Applicant, i.e., its proposed partners, subcontractors or suppliers for any part of the Contract including related services.
- 4.3 An Applicant and all parties constituting the applicant, shall have been registered with Pakistan Engineering Council (PEC) and shall have a valid registration Certificate in Category as mentioned in **PDS**.
- 4.4 Applicants and all parties constituting the Applicant shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they participated as a consultant in the preparation of the design or technical specifications of the Works that are the subject of this prequalification. Where a firm, or a firm from the same economic or financial group, in addition to consulting, also has the capability to manufacture or supply goods or to construct works, that firm, or a firm from the same economic or financial group, cannot normally be a supplier of goods or works, if it provided consulting services for the contract corresponding to this prequalification, unless it can be demonstrated that there is not a significant degree of common ownership, influence or control.
- 4.5 An Applicant shall submit only one application in the same Prequalification process, either individually as an Applicant or as a partner of a joint venture. No Applicant can be a subcontractor while submitting an application individually or as a partner of a joint venture in the same Prequalification process. A Subcontractor

in any application may participate in more than one application, but only in that capacity. An Applicant who submits, or participates in, more than one application will cause all the applications in which the Applicant has participated to be disqualified.

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- 4.6 Applicants shall not be under execution of a Bid–Securing Declaration.
- 4.7 Applicants and all parties constituting the Applicant shall provide such evidence of their continued eligibility satisfactory to the Employer, as the Employer shall reasonably request including legal and financial autonomy and compliance with Commercial Law in case of Government owned entities of Pakistan.
- 4.8 In case of JVs, one of the partners who is responsible for performing a key function in contract management or is executing a major component of the proposed contract shall be nominated as being in charge during the Pre-qualification and bidding periods and in the event of a successful bid, during contract execution. The partner in charge shall be authorized to incur liabilities and receive instructions for and on behalf of any and all partners of the joint venture; this authorization shall be evidenced by submitting a power of attorney signed by legally authorized signatories of all the partners.

B. Contents of the Prequalification Document

5. Sections of Prequalification Document

- 5.1 The document for the prequalification of Applicants (hereinafter - “prequalification document”) consists of parts 1 and 2 which comprise all the sections indicated below, and should be read in conjunction with any Addendum issued in accordance with ITA 7.

PART 1 Prequalification Procedures

- Section I. Instructions to Applicants (ITA)
- Section II. Prequalification Data Sheet (PDS)
- Section III. Qualification Criteria and Requirements
- Section IV. Application Forms

PART 2 Works Requirements

- Section V. Scope of Works

- 5.2 The “Invitation for Prequalification Applications” issued by the Employer is not part of the prequalification document.
- 5.3 The Employer accepts no responsibility for the completeness of the prequalification document and its addenda unless they were

obtained directly from the Employer.

- 5.4 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish all information or documentation required by the Prequalification Document.
- 6. Clarification of Prequalification Document**
- 6.1 A prospective Applicant requiring any clarification of the Prequalification Document shall contact the Employer in writing at the Employer's address indicated in the **PDS**. The Employer will respond in writing to any request for clarification provided that such request is received no later than five (05) days prior to the deadline for submission of applications. The Employer shall forward copies of its response to all applicants who have acquired the prequalification document directly from the Employer including a description of the inquiry but without identifying its source. Should the Employer deem it necessary to amend the prequalification document as a result of a clarification, it shall do so following the procedure under ITA 7 and in accordance with the provisions of ITA 16.2.
- 7. Amendment of Prequalification Document**
- 7.1 At any time prior to the deadline for submission of applications, the Employer may amend the Prequalification Document by issuing addenda.
- 7.2 Any addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all who have obtained the prequalification document from the Employer.

C. Preparation of Applications

- 8. Cost of Applications**
- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.
- 9. Language of Application**
- 9.1 The application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified in the **PDS**. Supporting documents and printed literature that are part of the application shall also in same language.
- 10.1 The application shall comprise the following:
- 10. Documents Comprising the Application**
- (a) Application Submission Form, in accordance with ITA 11;
- (b) documentary evidence establishing the Applicant's eligibility to pre-qualify, in accordance with ITA 12;
- (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
- (d) any other document required as specified in the **PDS**.

- 11.1 The Applicant shall prepare an Application Submission Sheet using the form furnished in Section IV, Application Forms. This Form must be completed without any alteration to its format.
- 11. Application Submission Form**
- 12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV, Application Forms.
- 12. Documents Establishing the Eligibility of the Applicant**
- 13.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.
- 13. Documents Establishing the Qualifications of the Applicant**
- 14.1 The Applicant shall prepare one original of the documents comprising the application as described in ITA 10 and clearly mark it "ORIGINAL". The original of the application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant.
- 14. Signing of the Application and Number of Copies**
- 14.2 The Applicant shall submit copies of the signed original application, in the number specified in the **PDS**, and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

- 15.1 The Applicant shall enclose the original and the copies of the application in a sealed envelope that shall:
- (a) bear the name and address of the Applicant;
- (b) be addressed to the Employer, in accordance with ITA 16.1; and
- (c) bear the specific identification of this pre-qualification process indicated in the **PDS 1.1**.
- 15.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required.
- 15. Sealing and Identification of Applications**
- 16.1 Applications shall be received by the Employer at the address and no later than the deadline indicated in the **PDS**. A receipt will be given for all applications submitted. Applicants may always submit their applications by mail or by hand. When so specified in the **PDS**. Applicants shall also submit an electronic copy of their applications as specified in **PDS**. Applications shall be received by the Employer at the address and no later than the deadline indicated in the **PDS**. A receipt will be given for all applications submitted.
- 16. Deadline for Submission of Applications**

- 16.2 The Employer may, at its discretion, extend the deadline for the submission of applications by amending the Prequalification Document in accordance with ITA 7, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.
- 17. Late Applications** 17.1 The Employer may at its discretion, accept the application after the deadline for submission of applications prescribed in ITA 16.
- 18. Opening of Applications** 18.1 Employer shall open the applications as per its standard procedures and shall prepare a record of the opening of applications that shall include, as a minimum, the name of the Applicant. A copy of the record may be distributed to all Applicants.

E. Procedures for Evaluation of Applications

- 19. Confidentiality** 19.1 Information relating to the evaluation of applications, and recommendation for pre-qualification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of results of pre-qualification is made to all Applicants.
- 19.2 From the deadline for submission of applications to the time of notification of the results of the prequalification in accordance with ITA 26, any Applicant that wishes to contact the Employer on any matter related to the prequalification process, may do so but only in writing.
- 20. Clarification of Applications** 20.1 To assist in the evaluation of applications, the Employer may, at its discretion, ask any Applicant for a clarification of its application which shall be submitted within a stated reasonable period of time. Any request for clarification and all clarifications shall be in writing.
- 20.2 If an Applicant does not provide clarifications of the information requested by the date and time set in the Employer's request for clarification, its application may be rejected.
- 21. Responsiveness of Applications** 21.1 The Employer may reject any application which is not responsive to the requirements of the prequalification document.
- 22. Subcontractors** 22.1 Applicants planning to subcontract any of the key activities indicated in Section III, Qualification Criteria, shall specify the activity(ies) or parts of the works to be subcontracted in the Application Submission Form. Applicants shall clearly identify the proposed specialist subcontractors in Forms ELI-1.2 and EXP (experience)-4.2 in Section IV. Such proposed specialist subcontractor(s) shall meet the corresponding qualification requirements specified in Section III, Qualification Criteria and Requirements.

22.2 At this time, the Employer does not intend to execute certain specific parts of the Works by subcontractors selected in advance by the Employer (Nominated Subcontractors) unless otherwise stated in the **PDS**.

F. Evaluation of Applications and Prequalification of Applicants

- | | |
|--|--|
| 23. Evaluation of Applications | <p>23.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements to evaluate the qualifications of the Applicants. The use of other methods, criteria, or requirements shall not be permitted. The Employer reserves the right to waive minor deviations in the qualification criteria if they do not materially affect the capability of an Applicant to perform the contract.</p> <p>23.2 The Employer shall also evaluate the performance of the applicant against its completed and ongoing project with the recommendations of construction wing NHA. NHA may disqualify the Applicants to whom a slow progress notice has been issued.</p> <p>23.3 Only the qualifications of subcontractors that have been identified in the application may be considered in the evaluation of an Applicant. However, the general experience and financial resources of subcontractors may not be added to those of the Applicant for purposes of prequalification of the Applicant.</p> <p>23.4 In case of multiple contracts, the Employer shall pre-qualify each Applicant for the maximum number and types of contracts for which the Applicant meets the appropriate aggregate requirements of such contracts, as specified in Section III, Qualification Criteria and Requirements.</p> |
| 24. Employer's Right to Accept or Reject Applications | <p>24.1 The Employer reserves the right to accept or reject any application, and to annul the prequalification process and reject all applications at any time, without thereby incurring any liability to Applicants.</p> |
| 25. Prequalification of Applicants | <p>25.1 All Applicants whose applications have met or exceeded ("passed") the specified threshold requirements will, to the exclusion of all others, be pre-qualified by the Employer.</p> |
| 26. Notification of Prequalification | <p>26.1 Once the Employer has completed the evaluation of the applications it shall notify all Applicants in writing of the names of those applicants who have been pre-qualified.</p> |
| 27. Invitation to Bid | <p>27.1 Promptly after the notification of the results of the prequalification the Employer shall invite bids from all the Applicants that have been pre-qualified.</p> |

27.2 Bidders may be required to provide a Bid Security acceptable to the Employer in the form and an amount to be specified in the Bidding Documents, and the successful Bidder shall be required to provide a Performance Security to be specified in the Bidding Documents.

28. Changes in Qualifications of Applicants

28.1 Any change in the structure or formation of an Applicant after being pre-qualified in accordance with ITA 25 and invited to bid shall be subject to a written approval of the Employer prior to the deadline for submission of bids. Such approval shall be denied if as a consequence of the change the Applicant no longer substantially meets the qualification criteria set forth in Section III, Qualification Criteria and Requirements, or if in the opinion of the Employer, a substantial reduction in competition may result. Any such changes shall be submitted to the Employer not later than seven (07) days after the date of the Invitation for Bids.

Section II. Prequalification Data Sheet	
A. General	
ITA 1.1	National Highway Authority 28-Mauve Area, Sector G-9/I, Islamabad (Pakistan) Phone # 92-51-9032727, Fax # 92-51-9260419
ITA 1.1	Aziz Chowk Interchange at Gujranwala (N-5)
ITA 4.1	(i) The parties in JV shall be jointly and severally liable. (ii) Maximum number of partners in the JV shall be two (02).
ITA 4.3	Category C-A with specialization in CE-01 and CE-02 (In case of JV registration in relevant category with respect to working share of each partner).
B. Contents of the Prequalification Document	
ITA 6.1	General Manager (Procurement & Contract Administration) National Highway Authority 28-Mauve Area, Sector G-9/I, Islamabad (Pakistan) Phone # 92-51-9032727, Fax # 92-51-9260419 E-mail: gmpca@nha.gov.pk , www.nha.gov.pk
C. Preparation of Applications	
ITA 9.1	The language of the application as well as of all correspondence is English.
ITA 10.1 (d)	- Nil -
ITA 14.2	- Nil – (No copy)
D. Submission of Applications	
ITA 16.1	The Last date for submission of Application is 28th January 2010 up to 1400 hours at the address mentioned above.
E. Procedures for Evaluation of Applications	
ITA 22.2	At this time the Employer does not intend to execute certain specific parts of the Works by subcontractors selected in advance.

Section III. Qualification Criteria and Requirements

This Section contains all the methods, criteria, and requirements that the Employer shall use to evaluate applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

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Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
1. Eligibility							
1.1	Registration with PEC	Registration Certificate in accordance with ITA Sub-Clause 4.3.	Must meet	Not applicable	*	*	Forms ELI – 1.1 and 1.2, with attachments.
1.2	Conflict of Interest	No conflicts of interest in ITA Sub-Clause 4.4.	Must meet	Not applicable	Must meet	Not applicable	Application Submission Form.
1.3	Ineligibility	Not having been declared ineligible by the Employer, as described in ITA Sub-Clause 4.6.	Must meet	Not applicable	Must meet	Not applicable	Application Submission Form.
1.4	Government owned entity	In case of Government owned entity of Pakistan, documents establishing legal and financial autonomy and compliance with commercial law.	Must meet	Not applicable	Must meet	Not applicable	Forms ELI – 1.1 and 1.2 with attachment.

* Registration in relevant category with respect to working share of each partner.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture		Submission Requirements	
				All Parties Combined	Each Partner		Lead Partner
2. Historical Contract Non-Performance							
2.1	Performance of the applicant and History of Non-Performing Contracts	Non-performance of a contract did not occur within the last 10 years prior to the deadline for application submission based on all information. The performance of the applicant on all completed and ongoing projects may also be checked in coordination with NHA construction wing.	Must meet	N/A	Must meet	N/A	Form CON-2
2.2	Failure to Sign Contract	Not being under execution of a Bid Securing Declaration pursuant to Sub-Clause 4.6 for 10 years	Must meet	N/A	Must meet	N/A	Application Submission Form
2.3	Resolved/ Pending Litigation	A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted. All pending litigation shall in total not represent more than fifty (50) % of the Applicant's net worth and shall be treated as resolved against the Applicant.	Must meet	N/A	Must meet	N/A	Form CON – 2

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
3. Financial Situation							
3.1	Financial Performance	Submission of audited balance sheets, for the last Three [03] years to demonstrate: The current soundness of the applicant's financial position and its prospective long term profitability, to evaluate;	(a) Must meet	(a) Must meet	(a) Must meet the 25 % of the total required for each package	(a) Must meet the 50 % of the total required for each package	Form FIN – 3.1 with attachments
		(a) Capacity to have cash flow reasonably enough to meet the cash flow requirements of the said works is: <ul style="list-style-type: none"> • Rs. 325.00 Million Following formula will be used for evaluation: Min Cash Flow = (10xworking capital) - (30% of current commitment)+(credit limit). Note: Latest Credit Limit certificate issued by Bank within Last Six Months required.	(b) As Above	(b) As Above	(b) As Above	(b) As Above	Form FIN – 3.1 with attachments
		(b) Average Net Worth for last 03 years: <ul style="list-style-type: none"> • Rs. 100.00 Million 					
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover as mentioned below, calculated as total certified payments received for contracts in progress or completed, within the last three (03) years: <ul style="list-style-type: none"> • Rs. 700.00 Million 	Must meet	Must meet	Must meet the 25 % of the total required for each package	Must meet the 50 % of the total required for each package	Form FIN – 3.2 with attachments

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	All Parties Combined	Joint Venture Each Partner	Lead Partner	Submission Requirements
4. Experience							
4.1	General Construction Experience	Experience under construction contracts in the role of contractor, subcontractor, or management contractor for at least the last Five [05] years prior to the application submission deadline. Note: Letter of Award / Acceptance and Completion Certificate must be provided with the Documents.	Must meet	N/A	Must meet	N/A	Form EXP – 4.1
4.2.	Specific Construction Experience	Participation as contractor , in at least one [01] contract within the last Ten [10] years, with a value of the largest work of at least amount as mentioned below, that have been successfully and substantially completed and that are similar to the proposed works. The similarity shall be based on the physical size, complexity, methods/technology or other characteristics. Value of the largest similar work executed in last 10 years: <ul style="list-style-type: none"> • Rs. 780.00 Million Note: Letter of Award / Acceptance and Completion Certificate must be provided with the Documents.	Must meet	N/A	One partner must meet	N/A	Form EXP 4.2
4.3	Current Commitment	Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded or for which a letter of intent or acceptance has been received, or for Contracts approaching completion, but for which substantial Completion Certificate has yet to be issued. Note: Letter of Award / Acceptance must be provided with the Documents.	Must Provide	N/A	Must Provide	Must Provide	Form EXP 4.3

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture		Submission Requirements	
				All Parties Combined	Each Partner		Lead Partner
5. Equipment Capabilities							
5.1	(a)	Total equipment available with the applicant is to be listed along with its current mobilization on on-going projects	Must meet	Must meet	N/A	N/A	Form Eqp-5.1(A)
	(b)	Proposed arrangements to meet the requirement of equipments for the execution of these works is also to be listed.	Must meet	Must meet	N/A	N/A	Form Eqp-5.1(B)
	(i)	Asphalt Dumpers.					
	(ii)	Asphalt Paving Machinery (PTRs, Asphalt Paver Tandem Roller etc.)					
	(iii)	Earth Moving Machinery (Grader, Bulldozer, Excavator, Roller etc.)					
	(iv)	Concrete Batching.					
	(v)	New shuttering (form work)					

Section IV. Application Forms

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Application Submission Form

Date: _____, 2009-10

Contract:

General Manager (P&CA)
National Highway Authority
28-Mauve Area, Sector G-9/I, Islamabad (Pakistan)

We, the undersigned, apply to be pre-qualified for the referenced Project and declare that:

- (a) we have examined and have no reservations to the Pre-qualification Documents, including Addendum(s) No(s)., issued in accordance with Instructions to Applicants (ITA) Clause 7;
- (b) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, do not have any conflict of interest, in accordance with ITA Sub-Clause 4.4;
- (c) we, including any subcontractors or suppliers for any part of the contract resulting from this prequalification, have not been declared ineligible by the Employer, or under the Government of Pakistan's laws, official regulations, or under execution of a Bid Securing Declaration in Pakistan;
- (d) we, in accordance with ITA Sub-Clause 22.1 plan not to subcontract the works /or parts of the works;
- (e) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any application that you may receive nor to invite the pre-qualified applicants to bid for the contract subject of this pre-qualification, without incurring any liability to the Applicants, in accordance with ITA Clause 24.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the application]*

In the Capacity of *[insert capacity of person signing the application]*

_____ Duly authorized
to sign the application for and on behalf of: Applicant's Name *[insert full name of Applicant]* Address *[insert street number/town or city/country address]* _____

Dated on *[insert day number]* day of *[insert month]*, *[insert year]* _____

Form ELI -1.1 Applicant Information Form

Date: _____, 2009-10

Contract:

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Applicant's legal name:	
In case of Joint Venture (JV), legal name of each partner:	
Applicant's Actual or Intended country of constitution:	
Applicant's actual or Intended year of constitution:	
Applicant's legal address in country of constitution:	
PEC Registration Category _____ Validity _____	
Detail of Owners of Applicants Name: Address: Telephone/Fax numbers: E-mail address:	Authorized representative information Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of:	
<input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and documents of registration of the legal entity named above, in accordance with ITA 4.1.	
<input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.1.	
<input type="checkbox"/> In case of Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.7.	
<input type="checkbox"/> Valid Registration Certificate from PEC.	

Form ELI -1.2
Applicant's Party Information Form

[The following form shall be filled in for the Applicant's parties including partner(s) of a joint venture, subcontractors, suppliers and other parties]

Date: _____, 2009-10

Contract:

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JV applicant legal name:
Applicant's Party legal name:
Applicant's Party country of registration:
Applicant Party's year of constitution:
Applicant Party's legal address in country of constitution:
Applicant Party's owner or authorized representative information: Name: Address: Telephone/Fax numbers: E-mail address:
Attached are copies of original documents of: <input type="checkbox"/> Articles of Incorporation or Documents of Constitution, and Registration Documents of the legal entity named above, in accordance with ITA 4.1. <input type="checkbox"/> In case of a Government owned entity, documents establishing legal and financial autonomy and compliance with commercial law, in accordance with ITA 4.7. <input type="checkbox"/> Valid Registration Certificate from PEC.

Form CON – 2
Performance of Applicant

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Date: _____, 2009-10

Applicant's Legal Name: _____
Joint Venture Party Legal Name: _____

Contract:

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Non-Performing Contracts in accordance with Section III, Qualification Criteria and Requirements			
<p>Contract non-performance did not occur during the 10 (Ten) years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.</p> <p>Contract(s) not performed during the 10 (Ten) years specified in Section III, Qualification Criteria and Requirements, requirement 2.1 are indicated below:</p>			
Year	Non performed portion of contract	Contract Identification	Total Contract Amount current value Rs.
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	<p>Contract Identification:</p> <p>Name of Employer: _____</p> <p>Address of Employer:</p> <p>Reason(s) for non performance:</p>	

Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements

No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3.

Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 is indicated below.

Year	Outcome as Percentage of Total Assets	Contract Identification	Total Contract Amount (current value, Rs.)
[insert year]	[insert percentage]	Contract Identification: Name of Employer: Address of Employer: Matter in dispute:	

Form FIN – 3.1 Financial Situation

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Date: _____, 2009 -10

Applicant's Legal Name: _____

Joint Venture Party Legal Name: _____

Contract:

Page _____ of _____

1. Financial data

Financial information	Historic information for previous years (Rupees in million)		
	2009	2008	2007
Information from Balance Sheet			
Total Assets (TA)			
Total Liabilities (TL)			
Net Worth (NW)			
Current Assets (CA)			
Current Liabilities (CL)			
Net working Capital.			
Information from Income Statement			
Total Revenue (TR)			
Profits Before Taxes (PBT)			

2. Financial documents

The Applicant and its parties shall provide copies of the balance sheets and/or financial statements for 03 (Three) years pursuant to Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or partner to a JV, and not sister or parent companies.
- (b) be audited by a certified accountant.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).

(Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the 03 (Three) years required above; and complying with the requirements.

Form FIN - 3.2

Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Date: _____, 2009-10

Applicant's Legal Name: _____

Joint Venture Party Legal Name: _____

Contract:

Page ____ of ____

Annual turnover data (construction only)

Year	Amount and Currency	Rupees in million
2007		
2008		
2009		
Average Annual Construction Turnover *		

* Average annual construction turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 3.2.

Specific proposed sources of financing to meet the cash flow of the Project.

Source of Financing	Amount (Pak Rs or equivalent)
1.	
2.	
3.	

(Attach audited financial statements for the last three years (for individual applicant or each partner of joint venture)

Form EXP - 4.1 General Construction Experience

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Date: _____, 2009-10

Applicant's Legal Name: _____

Joint Venture Party Legal Name: _____

Contract: _____

Page _____ of _____

[Identify contracts that demonstrate continuous construction work over the past five [05] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Date of award	Date of completion	Contract Identification	Role of Applicant
		Contract name: _____ Brief Description of the Works performed by the Applicant: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	<i>(insert "Contractor" or "Subcontractor" or "Contract Manager")</i>
		Contract name: _____ Brief Description of the Works performed by the Applicant: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	

Form EXP - 4.2

Similar Construction Experience

[The following table shall be filled in for contracts performed by the Applicant, each partner of a Joint Venture, and specialist sub contractors]

Date: _____, 2009-10

Applicant's Legal Name: _____

Joint Venture Party Legal Name: _____

Contract: _____

Page _____ of _____

[Identify similar contracts over the past ten (10) years pursuant to Section-III, Qualification Criteria and Requirements, Sub-Factor 4.2. List contracts chronologically, according to their commencement (starting) dates]

Similar Contract No.	Information		
Contract Identification			
Total Contract Amount			
Award date (Letter of Award)			
Completion date (completion Certificate)			
Role in Contract	Contractor	Management Contractor	Subcontractor
If partner in a JV, or subcontractor	%age Amount (specify participation)	Total Amount	
Employer's Name:			
Address: Telephone/fax number: E-mail:			
Description of the similarity in			
1. Physical size			
2. Complexity			
3. Method / Technology			

Form EXP - 4.3
Current Commitments
(Projects/Works in Hands/ Progress)

[The following table shall be filled in for the Applicant and for each partner of a Joint Venture]

Date: _____, 2009-10

Applicant's Legal Name: _____

Joint Venture Party Legal Name: _____

Contract:

Page _____ of _____

[Identify contracts that demonstrate continuous construction work pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.3. List contracts chronologically, according to their commencement (starting) dates.]

Contract Identification	Client	Starting Month / Year	Completion Month / Year (planned)	Total Contract Amount (Rs Million)	Amount of out standing works (Rs Million)	Average monthly invoicing over last six months (Rs Million)
01						
02						

[In accordance with Section-III, Qualification Criteria and requirements – Sub-factor 3.1 and Sub-factor 4.3 to substantiate the adequacy of the sources of finance to meet the applicant's cash flow requirements on the said contracts].

PART - 2

Works Requirements

Section V. Scope of Works

Contents

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3. Construction Period	38

1. The Project

Name of the Project: Aziz Chowk Interchange at Gujranwala (N-5)

2. Description of the Works

Contract: Aziz Chowk Interchange at Gujranwala (N-5)

3. Construction Period

Contract: Aziz Chowk Interchange at Gujranwala (N-5)

Completion period of the Project is 24 months (Approximately) – Final completion period will be mentioned in the Bidding Documents)

PRE-QUALIFICATION NOTICE**FOR
CONSTRUCTION OF AZIZ CHOWK INTERCHANGE, GUJRANWALA
(N-5)**

1. National Highway Authority (NHA) invites applications for Pre-qualification of firms for Construction of Aziz Chowk Interchange at Gujranwala (N-5). Estimated Cost of project is approximately Rs. 1300 Million.
2. The interested firms registered with Pakistan Engineering Council (PEC) in Constructor's Category C-A with Specialization in CE-01 & CE-02 for the year 2009 are eligible to apply for pre-qualification. Joint Venture (JV) of not more than 2 (two) partners is also allowed to apply (in case of JV, registration with PEC in relevant category with respect to working share of each partner will be checked for eligibility).
3. A complete set of Pre-Qualification document may be purchased by interested firms on submission of a written application to the address given below upon payment of a non-refundable fee of Rs. 2,000/- (Rupees Two thousand only) in the form of Bank Draft or Pay Order in favour of National Highway Authority or the same can be downloaded from NHA website as mentioned below. If downloaded from NHA website, Demand Draft / Pay Order of Rs. 2,000/- being non-refundable fee of Pre-Qualification document must be submitted with the application otherwise the application will not be considered for processing.
4. Applications for Pre-Qualification duly completed and supported with the documentary evidences, should be submitted in sealed envelopes and delivered to the address given below latest by **28th January 2010** at 1400-hours and be clearly marked "**Construction of Aziz Chowk Interchange at Gujranwala (N-5)**".
5. The Firms / Contractors providing unsubstantiated and / or incorrect information are liable to legal action and disqualification.

**General Manager (P&CA)**

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Government of Pakistan,
28-Mauve Area, G-9/1, Islamabad
Phone: 051-9032727, Fax: 051-9260419
Email: gmpca@nha.gov.pk, Website: www.nha.gov.pk